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| APPLICATION NO. | FILING DA                   | ATE        | FIRST NAMED INVENTOR     | ATTORNEY DOCKET N | O. CONFIRMATION NO. |
|-----------------|-----------------------------|------------|--------------------------|-------------------|---------------------|
| 10/817,015      | 04/01/20                    | 004        | Boyd J. Wathen           | 102-1-006         | 2771                |
| 27469           | 7590 0                      | EX         | EXAMINER                 |                   |                     |
|                 | KRODT & MA<br>IGE PLACE, SL | LAGMAN, FF | LAGMAN, FREDERICK LYNDON |                   |                     |
|                 | CITY, UT 84                 |            | ART UNIT                 | PAPER NUMBER      |                     |
|                 |                             |            |                          | 3673              |                     |

DATE MAILED: 02/08/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

| 16 |
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| J, |

|   | Application No.   | Applicant(s)    |  |  |  |  |  |
|---|---|-----------------|--|--|--|--|--|
| Office Action Summan  | 10/817,015  | WATHEN, BOYD J. |  |  |  |  |  |
| Office Action Summary   | Examiner  | Art Unit        |  |  |  |  |  |
|   | Frederick L. Lagman   | 3673            |  |  |  |  |  |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply  |   |                 |  |  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). |   |                 |  |  |  |  |  |
| Status  |   |                 |  |  |  |  |  |
| 1) Responsive to communication(s) filed on  | <b>_·</b>   |                 |  |  |  |  |  |
| 2a) ☐ This action is <b>FINAL</b> . 2b) ☑ This  | This action is <b>FINAL</b> . 2b)⊠ This action is non-final.  |                 |  |  |  |  |  |
| 3) Since this application is in condition for allowan   | ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is |                 |  |  |  |  |  |
| closed in accordance with the practice under E  | closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.                         |                 |  |  |  |  |  |
| Disposition of Claims   |   |                 |  |  |  |  |  |
| 4)⊠ Claim(s) <u>1-17</u> is/are pending in the application.   |   |                 |  |  |  |  |  |
|   | 4a) Of the above claim(s) is/are withdrawn from consideration.  |                 |  |  |  |  |  |
| 5) Claim(s) is/are allowed.   |   |                 |  |  |  |  |  |
| 6)⊠ Claim(s) <u>1-3 and 10-13</u> is/are rejected.  |   |                 |  |  |  |  |  |
| 7)⊠ Claim(s) <u>4-9 and 14-17</u> is/are objected to.   |   |                 |  |  |  |  |  |
| 8) Claim(s) are subject to restriction and/or   | election requirement.   |                 |  |  |  |  |  |
| Application Papers  |   |                 |  |  |  |  |  |
| 9) The specification is objected to by the Examiner   | <b>f.</b>   | •               |  |  |  |  |  |
| 10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.   |   |                 |  |  |  |  |  |
| Applicant may not request that any objection to the o   | drawing(s) be held in abeyance. See   | 37 CFR 1.85(a). |  |  |  |  |  |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  |   |                 |  |  |  |  |  |
| 11)☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.   |   |                 |  |  |  |  |  |
| Priority under 35 U.S.C. § 119  |   |                 |  |  |  |  |  |
| 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of:  |   |                 |  |  |  |  |  |
| 1. Certified copies of the priority documents have been received.   |   |                 |  |  |  |  |  |
| <ul> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage</li> </ul>   |   |                 |  |  |  |  |  |
| 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).   |   |                 |  |  |  |  |  |
| * See the attached detailed Office action for a list of the certified copies not received.  |   |                 |  |  |  |  |  |
|   |   |                 |  |  |  |  |  |
|   |   |                 |  |  |  |  |  |
| Attachment(s)  1) Notice of References Cited (PTO-892)  4) Interview Summary (PTO-413)  |   |                 |  |  |  |  |  |
| 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) Paper No(s)/Mail Date   |   |                 |  |  |  |  |  |
| B) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  Paper No(s)/Mail Date 4/1/04.  5) Notice of Informal Patent Application (PTO-152)  6) Other:  |   |                 |  |  |  |  |  |
|   |   |                 |  |  |  |  |  |

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#### **DETAILED ACTION**

#### Information Disclosure Statement

1. The information disclosure statement filed 4/1/04 fails to comply with 37 CFR 1.98(a)(2), which requires a legible copy of each cited foreign patent document; each non-patent literature publication or that portion which caused it to be listed; and all other information or that portion which caused it to be listed. It has been placed in the application file, but the information referred to therein has not been considered.

In particular, the foreign patent documents and the non-patent literature documents were not considered. There were no copies of these documents in the instant application, nor were they cited in the prior applications.

# Specification

- 2. The disclosure is objected to because of the following informalities: page 1, line 1, the status of 10/234,420 should be updated.
  - Appropriate correction is required.

# **Double Patenting**

3. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970);and, *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

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Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

- 4. Claims 1-3 and 12-13 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-20 of U.S. Wathen Patent No. 6,729,805 in view of Thomas #4,847,067. Wathen discloses the claimed invention except for the sodium hydroxide. Thomas teaches that it is known to provide sodium hydroxide for inhibiting dust as set forth at column 13, line 67. It would have been obvious to one having ordinary skill in the art at the time the invention was made to provide sodium hydroxide, as taught by Thomas in order to inhibit dust.
- 5. Claims 1-3 and 12-13 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-17 of U.S. Wathen Patent No. 6,443,661 in view of Thomas #4,847,067. Wathen discloses the claimed invention except for the sodium hydroxide. Thomas teaches that it is known to provide sodium hydroxide for inhibiting dust as set forth at column 13, line 67. It would have been obvious to one having ordinary skill in the art at the time the invention was made to provide sodium hydroxide, as taught by Thomas in order to inhibit dust.

### Allowable Subject Matter

6. Claims 4-9 and 14-17 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

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#### Conclusion

7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Frederick L. Lagman whose telephone number is 703-305-7456. The examiner can normally be reached on Monday-Friday 9:00am to 5:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Heather Schackelford can be reached on 703-308-2978. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Frederick L. Lagman Examiner

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FLL